

Please see the rules on the second page.

1. Suppose  $X$  and  $Y$  are Banach space and  $\{T_n\}$  is a sequence of invertible operators in  $B(X, Y)$  converging to some operator  $T$ . Suppose moreover, there is a constant  $C > 0$  such that  $\|T_n(x)\| \geq C\|x\|$  for all  $x \in X$  and  $n \in \mathbb{N}$ . Show that  $T$  has a continuous inverse.
2. Suppose  $\{x_n\}$  is a sequence that converges weakly to  $x$  in a Banach space  $X$ . Let  $T \in B(X, Y)$  be a compact operator. Show  $T(x_n) \rightarrow T(x)$ .
3. Suppose  $T \in B(X)$  for some Banach space and

$$S = \prod_{k=1}^n (T - \mu_k I)$$

for certain complex numbers  $\mu_i$ . Show that  $S$  is invertible if and only if each  $T - \mu_i I$  is.

4. Let  $f \in C[0, 1]$  and define  $T_f : C[0, 1] \rightarrow C[0, 1]$  by  $T_f(g) = fg$ . Compute  $\sigma(T_f)$  and  $\sigma_p(T_f)$ .
5. If  $I$  is a closed, bounded interval in  $\mathbb{R}$ , we define  $H^1(I)$  to be the closure of the smooth functions on  $I$  with respect to the norm

$$\|u\| = \|u\|_2 + \|u'\|_2.$$

- a) Show that for smooth functions, the identity map from  $H^1(I)$  to  $C[0, 1]$  is continuous. Hint: The Fundamental Theorem of Calculus will be handy.
  - b) The previous subproblem shows that elements of  $H^1(I)$  can be identified with continuous functions. But elements of  $H^1(I)$  need not be smooth. Indeed, show that  $f(x) = |x|$  belongs to  $H^1([-1, 1])$ .
6. A set  $\{\phi_j\}$  in a Hilbert space  $X$  is called a Riesz basis if there is a continuous linear isomorphism  $T : X \rightarrow X$  such that  $\{\phi_j\}$  is the image under  $T$  of an orthonormal basis.

A set  $\{\psi_j\}$  is a Schauder basis if it is linearly independent and if  $\overline{\text{Span}\{\psi_j\}} = X$ .

- a) Give an example of a Schauder basis for  $\ell_2$  that is not a Riesz basis.
- b) Suppose  $\{\phi_j\}$  is a Riesz basis. Show that  $\sum_{j=1}^{\infty} c_j \phi_j$  converges if and only if  $\{c_j\} \in \ell_2$ .

**Rules and format:**

- You are welcome to discuss this exam with me (David Maxwell) to ask for hints and so forth.
- If you find a suspected typo, please contact me as soon as possible and I will communicate it to the class if needed.
- You may not discuss the exam with anyone else until after the due date/time.
- You are permitted to reference our course text, but no other references.
- Each problem is weighted equally.
- The due date/time is absolutely firm.
- We will schedule a hints session at a time TBA.