

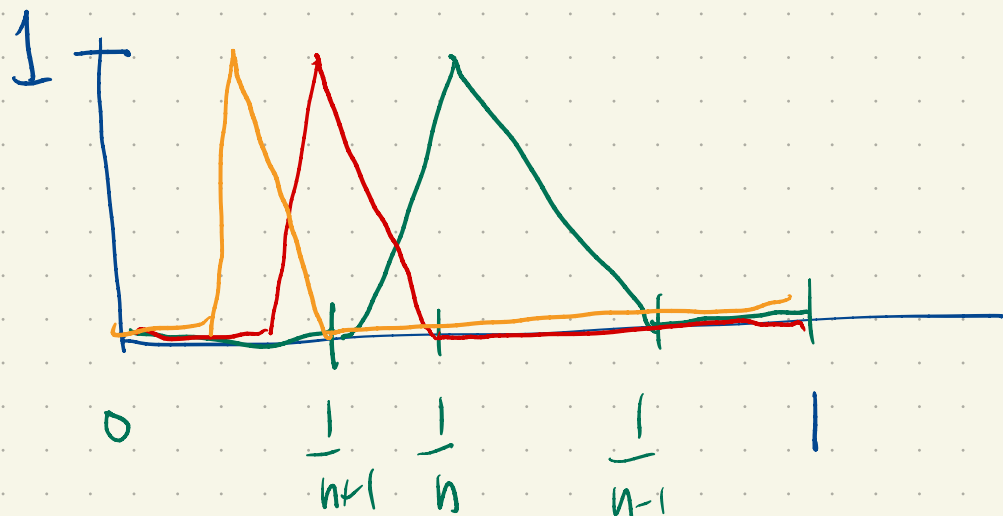
$C[0,1] \rightarrow$  compact subsets

$C(X)$   $X$  is compact

complete, totally bounded subsets

closed

bounded  $\not\Rightarrow$  totally bounded. (no Cauchy subsequence!)



$f_n$   $n \geq 2$

$d(f_n, f_m)$   $n \neq m$   
||  
1

Def: A subset  $\mathcal{F} \subseteq C(X)$  where  $X$  is a metric space

is equicontinuous if for every  $\epsilon > 0$  there exists

$\delta > 0$  so that if  $x, y \in X$  with  $d(x, y) < \delta$

then  $|f(x) - f(y)| < \epsilon$  for all  $f \in \mathcal{F}$ .

Each  $f$  in an equicontinuous family is uniformly continuous.

Equicontinuity: one  $\delta$  works everywhere for all members of the family.

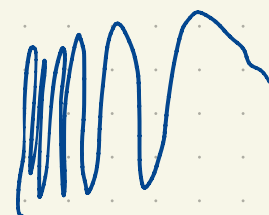
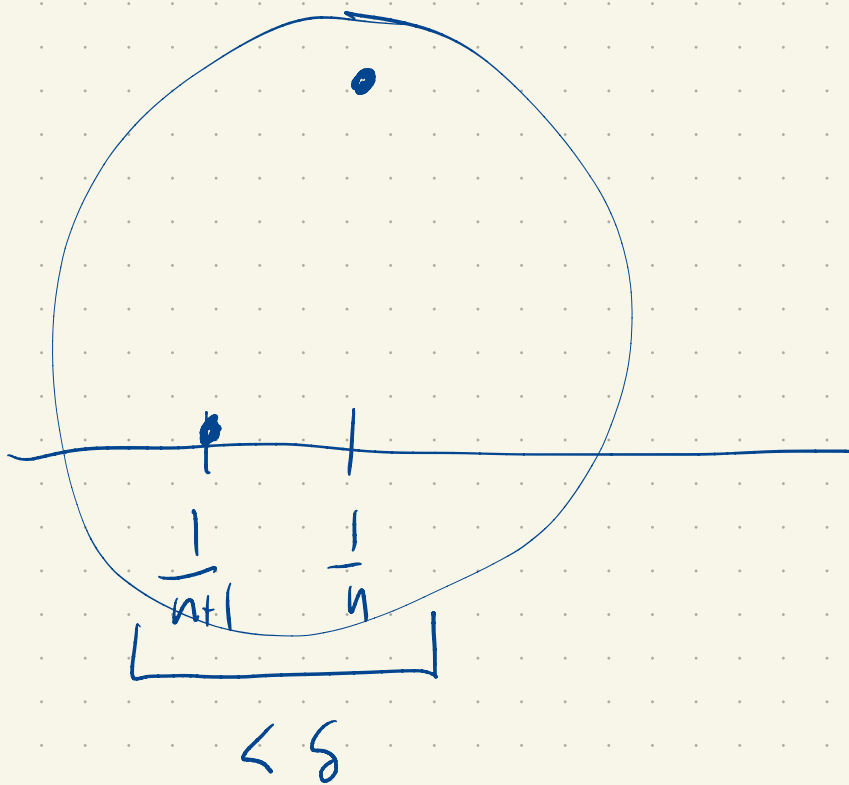
Not equicontinuous:  $\exists \epsilon_0 > 0$  so that for all  $\delta > 0$

there exists  $x, y \in X$  and  $f \in \mathcal{F}_1$  such that

$$d(x, y) < \delta \quad \text{but} \quad |f(x) - f(y)| > \varepsilon_0.$$

But  $\varepsilon_0 = 1$

$$\delta > 0$$



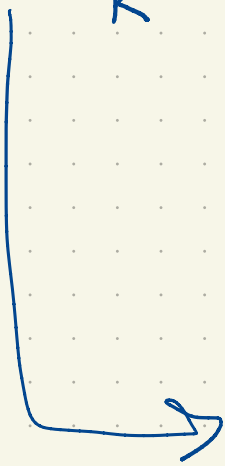
$(0, 1]$   $\sin(1/x)$

Lip  $[a, b]$  lip functions

$Lip_K[a, b]$



$$|f(x) - f(y)| \leq K|x - y|$$



$$\varepsilon > 0$$

Pick  $\delta$  so  $K\delta < \varepsilon$

Then if  $f \in Lip_K[a, b]$

and if  $x, y \in [a, b]$  with  $|x - y| < \delta$

$$\text{then } |f(x) - f(y)| \leq K|x - y|$$

$$< K\delta$$

$$< \varepsilon$$

Boundedness and equicontinuity are independent.

not bounded, not equicont. :  $C[0,1]$

bounded, not equicont. :  $B_1(0)$

not bounded, equicont. :  $Lip_K[0,1]$

bounded, equicont. :  $\overline{B_1(0)} \cap Lip_K[0,1]$

Equicontinuity is necessary for a family of functions to be totally bounded.

Thm: Let  $X$  be a <sup>compact</sup> metric space. Every totally bounded subset  $\mathcal{F}$  of  $C(X)$  is equicontinuous.

Pf: Let  $\varepsilon > 0$ . Let  $f_1, \dots, f_n$  be an  $\frac{\varepsilon}{3}$ -net

for  $\tilde{\mathcal{A}}$ . Each  $f_k$  in the net is uniformly continuous, so there exists  $\delta_k$  so that if  $x, y \in X$  and  $d(x, y) < \delta_k$ ,

$$|f_k(x) - f_k(y)| < \frac{\varepsilon}{3}.$$

Let  $\delta = \min \delta_k$ , so  $\delta > 0$ . Suppose  $x, y \in X$  and

$d(x, y) < \delta$ . Let  $f \in \tilde{\mathcal{A}}$ . Then there exists  $f_k$  in

the net so that  $\|f - f_k\|_\infty < \frac{\varepsilon}{3}$ . Then

$$\begin{aligned} |f(x) - f(y)| &\leq |f(x) - f_k(x)| + |f_k(x) - f_k(y)| + |f_k(y) - f(y)| \\ &< \frac{\varepsilon}{3} + \frac{\varepsilon}{3} + \frac{\varepsilon}{3} \end{aligned}$$

$$= \varepsilon.$$



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We will see  $\uparrow$  that boundedness + equicontinuity  $\Rightarrow$  total boundedness  
if  $X$  is compact  
 $\downarrow$   
 $\infty$  (uniform boundedness).

We will prove in fact pointwise boundedness + equicontinuity  
 $\Rightarrow$  total boundedness.  
 $\downarrow$

$\mathcal{F} \subseteq C(X)$   $\forall x \in X$  there exists  $M_x$   
such that  $|f(x)| \leq M_x$

for all  $f \in \mathcal{F}$ .

Exercise: pointwise bounded + equicont.  $\Rightarrow$   
uniformly bounded.

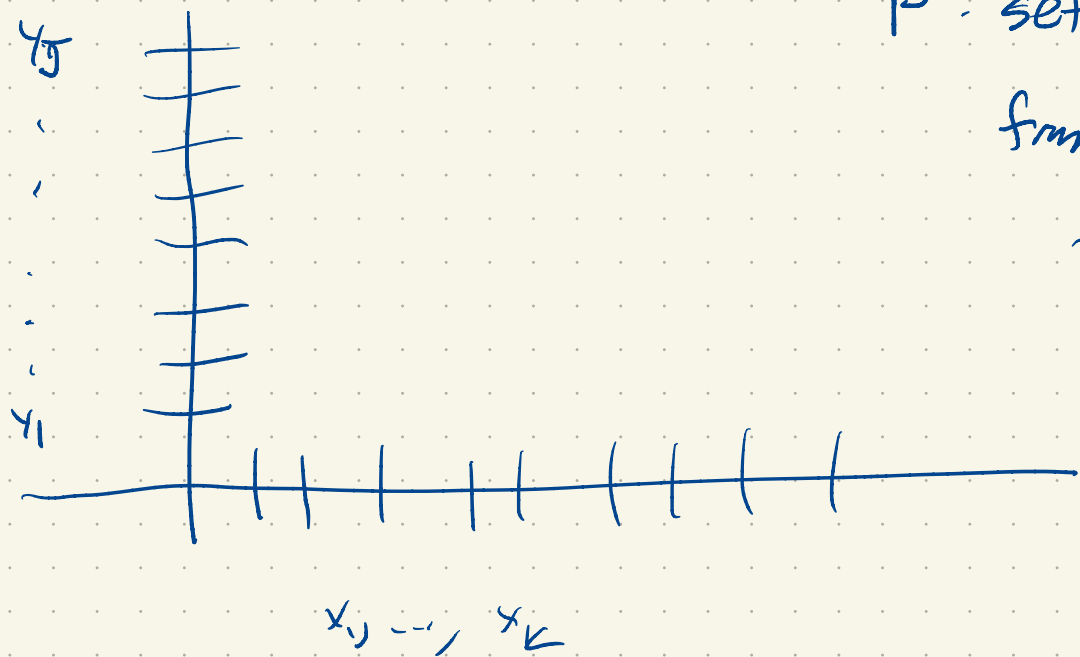
Thm: Let  $X$  be compact. If  $\mathcal{F} \subseteq C(X)$  is  
pointwise bounded and equicontinuous it is totally bounded.

Thm (Arzela-Ascoli)

Let  $X$  be compact. A subset  $\mathcal{F} \subseteq C(X)$  is compact

iff and only iff it is closed, pointwise bounded and equicontinuous.





$P$ : set of functions  
 from  $\{x_1, \dots, x_k\}$   
 to  $\{y_1, \dots, y_j\}$

$$|P| = j^k$$

finite

Given  $p \in P$

$$\mathcal{F}_p = \left\{ f \in \mathcal{F}_1 : |f(x_k) - p(x_k)| < \frac{\epsilon}{4}, 1 \leq k \leq k \right\}$$

Goal:  $\text{diam}(\mathcal{F}_p) < \epsilon$ .

$$\mathcal{F}_1 \subseteq \bigcup_{p \in P} \mathcal{F}_p$$